

Fall 9-1-1976

## 1975-1976 Securities Law Developments

Follow this and additional works at: <https://scholarlycommons.law.wlu.edu/wlulr>

 Part of the [Securities Law Commons](#)

---

### Recommended Citation

*1975-1976 Securities Law Developments*, 33 Wash. & Lee L. Rev. 935 (1976),  
<https://scholarlycommons.law.wlu.edu/wlulr/vol33/iss4/6>

This Note is brought to you for free and open access by the Washington and Lee Law Review at Washington & Lee University School of Law Scholarly Commons. It has been accepted for inclusion in Washington and Lee Law Review by an authorized editor of Washington & Lee University School of Law Scholarly Commons. For more information, please contact [lawref@wlu.edu](mailto:lawref@wlu.edu).

1975-1976 SECURITIES LAW DEVELOPMENTS

CONTENTS

	Page
I. RULE 10b-5 .....	937
A. <i>Scienter</i> .....	937
B. <i>Aiding-Abetting</i> .....	944
C. <i>Purchaser-Seller Requirement</i> .....	950
Derivative Suits and Private Injunc- tive Relief .....	952
Aborted Purchaser/Seller .....	956
The "In Connection With" Requirement ...	957
D. <i>Damages</i> .....	961
II. TENDER OFFERS: INJUNCTIVE RELIEF UNDER THE WILLIAMS ACT .....	969
A. <i>Permanent Injunctions</i> .....	971
B. <i>Preliminary Injunctions</i> .....	975
III. SECTION 16(b) .....	984
A. <i>Initial Purchase Creating Ten Percent         Ownership</i> .....	985
B. <i>Pragmatic Approach</i> .....	993
C. <i>Section 16(b) Profits</i> .....	996
IV. SECURITIES EXCHANGES AND THE ANTITRUST LAWS ....	999

